

**WINJAMMER FILING**

**INITIAL**

**End Date:9/6/2023**

**Firm Name:INTERACTIVE BROKERS LLC**

**Form:Daily Seg - FOCUS II**

**Submit Date:9/7/2023**

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**Daily Segregation - Cover Page**

Name of Company	<b><u>INTERACTIVE BROKERS LLC</u></b>
Contact Name	<b><u>James Menicucci</u></b>
Contact Phone Number	<b><u>203-618-8085</u></b>
Contact Email Address	<b><u>jmenicucci@interactivebrokers.com</u></b>
FCM's Customer Segregated Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<b><u>0</u></b>
b. Minimum percentage of customer segregated funds required:% ; or	<b><u>0</u></b>
c. Dollar amount range between:and; or	<b><u>155,000,000 245,000,000</u></b>
d. Percentage range of customer segregated funds required between:% and%.	<b><u>0 0</u></b>
FCM's Customer Secured Amount Funds Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<b><u>0</u></b>
b. Minimum percentage of customer secured funds required:% ; or	<b><u>0</u></b>
c. Dollar amount range between:and; or	<b><u>80,000,000 120,000,000</u></b>
d. Percentage range of customer secured funds required between:% and%.	<b><u>0 0</u></b>
FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):	
a. Minimum dollar amount: ; or	<b><u>0</u></b>
b. Minimum percentage of cleared swaps customer collateral required:% ; or	<b><u>0</u></b>
c. Dollar amount range between:and; or	<b><u>0 0</u></b>
d. Percentage range of cleared swaps customer collateral required between:% and%.	<b><u>0 0</u></b>
Current ANC: on	<b><u>7,178,904,267 06-SEP-2023</u></b>
Broker Dealer Minimum	<b><u>194,271,398</u></b>
Debit/Deficit - Customers Current Amount Gross Amount	
Domestic Debit/Deficit	<b><u>0</u></b>
Foreign Debit/Deficit	<b><u>0 0</u></b>
Debit/Deficit - Non Customers Current Amount Gross Amount	
Domestic Debit/Deficit	<b><u>0 0</u></b>
Foreign Debit/Deficit	<b><u>0 0</u></b>
Proprietary Profit/Loss	
Domestic Profit/Loss	<b><u>0</u></b>
Foreign Profit/Loss	<b><u>0</u></b>
Proprietary Open Trade Equity	
Domestic OTE	<b><u>0</u></b>
Foreign OTE	<b><u>0</u></b>
SPAN	
Customer SPAN Calculation	<b><u>0</u></b>
Non-Customer SPAN Calculation	<b><u>0</u></b>
Proprietary Capital Charges	<b><u>0</u></b>
Minimum Dollar Amount Requirement	<b><u>1,000,000</u> [7465]</b>
Other NFA Dollar Amount Requirement	<b><u>0</u> [7475]</b>

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**Daily Segregation - Secured Amounts**

Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

1.	Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers	<u>0</u> [7305]
	A. Cash	<b><u>643,406,445</u></b> [7315]
	B. Securities (at market)	<u>0</u> [7317]
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade	<b><u>11,093,887</u></b> [7325]
3.	Exchange traded options	
	a. Market value of open option contracts purchased on a foreign board of trade	<b><u>147,278</u></b> [7335]
	b. Market value of open contracts granted (sold) on a foreign board of trade	<b><u>-37,758</u></b> [7337]
4.	Net equity (deficit) (add lines 1. 2. and 3.)	<b><u>654,609,852</u></b> [7345]
5.	Account liquidating to a deficit and account with a debit balances - gross amount	<b><u>4,131</u></b> [7351]
	Less: amount offset by customer owned securities	<u>0</u> [7352] <b><u>4,131</u></b> [7354]
6.	Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	<b><u>654,613,983</u></b> [7355]
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	<b><u>654,613,983</u></b> [7360]

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1.	Cash in banks	
	A. Banks located in the United States	<b><u>3,241,597</u></b> [7500]
	B. Other banks qualified under Regulation 30.7	<u>0</u> [7520] <b><u>3,241,597</u></b> [7530]
2.	Securities	
	A. In safekeeping with banks located in the United States	<b><u>467,058,065</u></b> [7540]
	B. In safekeeping with other banks qualified under Regulation 30.7	<u>0</u> [7560] <b><u>467,058,065</u></b> [7570]
3.	Equities with registered futures commission merchants	
	A. Cash	<u>0</u> [7580]
	B. Securities	<u>0</u> [7590]
	C. Unrealized gain (loss) on open futures contracts	<u>0</u> [7600]
	D. Value of long option contracts	<u>0</u> [7610]
	E. Value of short option contracts	<u>0</u> [7615] <u>0</u> [7620]
4.	Amounts held by clearing organizations of foreign boards of trade	
	A. Cash	<u>0</u> [7640]
	B. Securities	<u>0</u> [7650]
	C. Amount due to (from) clearing organization - daily variation	<u>0</u> [7660]
	D. Value of long option contracts	<u>0</u> [7670]
	E. Value of short option contracts	<u>0</u> [7675] <u>0</u> [7680]
5.	Amounts held by members of foreign boards of trade	
	A. Cash	<b><u>312,180,572</u></b> [7700]
	B. Securities	<u>0</u> [7710]
	C. Unrealized gain (loss) on open futures contracts	<b><u>4,527,699</u></b> [7720]
	D. Value of long option contracts	<b><u>147,278</u></b> [7730]
	E. Value of short option contracts	<b><u>-37,758</u></b> [7735] <b><u>316,817,791</u></b> [7740]
6.	Amounts with other depositories designated by a foreign board of trade	<u>0</u> [7760]
7.	Segregated funds on hand	<u>0</u> [7765]
8.	Total funds in separate section 30.7 accounts	<b><u>787,117,453</u></b> [7770]
9.	Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)	<b><u>132,503,470</u></b> [7380]
10.	Management Target Amount for Excess funds in separate section 30.7 accounts	<b><u>80,000,000</u></b> [7780]
11.	Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target	<b><u>52,503,470</u></b> [7785]

**INITIAL****End Date:9/6/2023****Firm Name:INTERACTIVE BROKERS LLC****Form:Daily Seg - FOCUS II****Submit Date:9/7/2023****Daily Segregation - Segregation Statement**

## SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

1.	Net ledger balance	
	A. Cash	<b><u>7,104,915,676</u></b> [7010]
	B. Securities (at market)	<b><u>0</u></b> [7020]
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market	<b><u>-112,478,668</u></b> [7030]
3.	Exchange traded options	
	A. Add market value of open option contracts purchased on a contract market	<b><u>300,574,479</u></b> [7032]
	B. Deduct market value of open option contracts granted (sold) on a contract market	<b><u>-442,239,983</u></b> [7033]
4.	Net equity (deficit) (add lines 1, 2 and 3)	<b><u>6,850,771,504</u></b> [7040]
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	<b><u>1,068,243</u></b> [7045]
	Less: amount offset by customer securities	<b><u>0</u></b> [7047] <b><u>1,068,243</u></b> [7050]
6.	Amount required to be segregated (add lines 4 and 5)	<b><u>6,851,839,747</u></b> [7060]
	FUNDS IN SEGREGATED ACCOUNTS	
7.	Deposited in segregated funds bank accounts	
	A. Cash	<b><u>2,006,450,212</u></b> [7070]
	B. Securities representing investments of customers' funds (at market)	<b><u>2,300,934,815</u></b> [7080]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [7090]
8.	Margins on deposit with derivatives clearing organizations of contract markets	
	A. Cash	<b><u>6,644,449</u></b> [7100]
	B. Securities representing investments of customers' funds (at market)	<b><u>2,885,537,538</u></b> [7110]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [7120]
9.	Net settlement from (to) derivatives clearing organizations of contract markets	<b><u>7,503,580</u></b> [7130]
10.	Exchange traded options	
	A. Value of open long option contracts	<b><u>300,574,479</u></b> [7132]
	B. Value of open short option contracts	<b><u>-442,239,983</u></b> [7133]
11.	Net equities with other FCMs	
	A. Net liquidating equity	<b><u>0</u></b> [7140]
	B. Securities representing investments of customers' funds (at market)	<b><u>0</u></b> [7160]
	C. Securities held for particular customers or option customers in lieu of cash (at market)	<b><u>0</u></b> [7170]
12.	Segregated funds on hand	<b><u>0</u></b> [7150]
13.	Total amount in segregation (add lines 7 through 12)	<b><u>7,065,405,090</u></b> [7180]
14.	Excess (deficiency) funds in segregation (subtract line 6 from line 13)	<b><u>213,565,343</u></b> [7190]
15.	Management Target Amount for Excess funds in segregation	<b><u>155,000,000</u></b> [7194]
16.	Excess (deficiency) funds in segregation over (under) Management Target Amount	<b><u>58,565,343</u></b> [7198]
	Excess	

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements	
1.	Net ledger balance
	A. Cash <span style="float:right"><u>0</u> [8500]</span>
	B. Securities (at market) <span style="float:right"><u>0</u> [8510]</span>
2.	Net unrealized profit (loss) in open cleared swaps <span style="float:right"><u>0</u> [8520]</span>
3.	Cleared swaps options
	A. Market value of open cleared swaps option contracts purchased <span style="float:right"><u>0</u> [8530]</span>
	B. Market value of open cleared swaps option contracts granted (sold) <span style="float:right"><u>0</u> [8540]</span>
4.	Net Equity (deficit) (add lines 1, 2, and 3) <span style="float:right"><u>0</u> [8550]</span>
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount <span style="float:right"><u>0</u> [8560]</span>
	Less: amount offset by customer owned securities <span style="float:right"><u>0</u> [8570] <u>0</u> [8580]</span>
6.	Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <span style="float:right"><u>0</u> [8590]</span>
Funds in Cleared Swaps Customer Segregated Accounts	
7.	Deposited in cleared swaps customer segregated accounts at banks
	A. Cash <span style="float:right"><u>0</u> [8600]</span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8610]</span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8620]</span>
8.	Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts
	A. Cash <span style="float:right"><u>0</u> [8630]</span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8640]</span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8650]</span>
9.	Net settlement from (to) derivatives clearing organizations <span style="float:right"><u>0</u> [8660]</span>
10.	Cleared swaps options
	A. Value of open cleared swaps long option contracts <span style="float:right"><u>0</u> [8670]</span>
	B. Value of open cleared swaps short option contracts <span style="float:right"><u>0</u> [8680]</span>
11.	Net equities with other FCMs
	A. Net liquidating equity <span style="float:right"><u>0</u> [8690]</span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u> [8700]</span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> [8710]</span>
12.	Cleared swaps customer funds on hand
	A. Cash <span style="float:right"><u>0</u></span>
	B. Securities representing investments of cleared swaps customers' funds (at market) <span style="float:right"><u>0</u></span>
	C. Securities held for particular cleared swaps customers in lieu of cash (at market) <span style="float:right"><u>0</u> <u>0</u> [8715]</span>
13.	Total amount in cleared swaps customer segregation (add lines 7 through 12) <span style="float:right"><u>0</u> [8720]</span>
14.	Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <span style="float:right"><u>0</u> [8730]</span>
15.	Management Target Amount for Excess funds in cleared swaps segregated accounts <span style="float:right"><u>0</u> [8760]</span>
16.	Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management <span style="float:right"><u>0</u> [8770]</span>