



## ATTACHMENT C - CAT RECORD TRANSMISSION INSTRUCTION

Client elects self-reporting status and requests IBKR create CAT Reports for Client's CAT Reportable Events, but not to act as CAT Reporting Agent for Client.

IBKR will acknowledge such changes and coordinate with the Client to reconfigure the transmission destination within a reasonable time.

The Client may update from time to time through the IBKR Account Management system the secure destination to the Client authorizes IBKR to deliver the CAT Records.