



ATTACHMENT B - CAT REPORTING SERVICES DESIGNATION (Limited Reporting)

All terms defined in the CAT Reporting Election Agreement are incorporated herein.

Client has read and understands the foregoing and has determined that it is a CAT Industry Member and conducts business activity on the IBKR platform for which the Client wishes to designate IBKR to provide CAT Reporting Services as defined in FINRA Rule 6810 in accordance with Rule 613 of SEC Regulation NMS.

IBKR Reporting Service Election

Client designates IBKR to perform limited CAT Reporting Services on its behalf.

Client has read and understands the foregoing and affirms that the Client is a self-reporting CAT Industry Member and that it will meet its CAT submission obligations independently of IBKR.

IBKR will provide CAT Reporting Services to create records for Client's Reportable Events, but not transmit them to the Central Repository. Client must state in Attachment C the destination to which CAT records are to be delivered.

Client understands that by electing self-reporting, Client releases IBKR from all liabilities and responsibilities in connection with Client's Reportable Events. IBKR will not have the ability to review or fix errors on the CAT Portal with respect to CAT Reports it created but did not submit to the Central Repository.



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