

## ATTACHMENT B - CAT REPORTING SERVICES DESIGNATION (CAT Reporting Agent)

All terms defined in the CAT Reporting Election Agreement are incorporated herein.

Client has read and understands the foregoing and has determined that it is a CAT Industry Member and conducts business activity on the IBKR platform for which the Client wishes to designate IBKR to provide CAT Reporting Services as defined in FINRA Rule 6810 in accordance with Rule 613 of SEC Regulation NMS.

## **IBKR Reporting Service Election**

Client has read and understands the foregoing and designates IBKR as its CAT Reporting Agent to report Clien's Reportable Events on Client's behalf with the terms and conditions set forth herein.

Client understands that IBKR must be set as its CAT Reporting Agent for both stock and option Reporting Events if Client's Reportable Events include both equities and options activities.

Client understands that to designate IBKR as its CAT Reporting Agent, Client must follow the CAT LLC Onboarding instructions as published and updated from time to time on https://www.catnmsplan.com/ registration.

Client understands that IBKR CAT Reporting Services will commence only after successful testing and IBKR's receipt of a CAT certification. IBKR will provide notice to Client once it has received the CAT certification.