



## Appointment of Trading Agent (Unregistered) And Request to Send Trade Confirmations and Account Statements to Agent

- I hereby request that Interactive Brokers Canada (IB) provide all trade confirmations and account statements directly to my trading agent. I may also review my statement at the IB website with an IB provided username and password.

I understand and acknowledge that:

- I have granted to my Agent the full discretion to direct the trading in my account on my behalf and I will not have access to IB's electronic systems for placing orders for my account. Only my Agent will be able to use the IB Trader Workstation to conduct trading in my account.
- My agent has informed IB that the agent is not trading or advising me for a professional or business purpose therefore the advisor is not registered or licensed as a professional advisor and is not required to be registered or licensed. I acknowledge that IB is not responsible for determining whether my agent is required to be registered or licensed or whether my agent is exempt from registration or licensing. This is solely the responsibility of my agent. If I have questions about this, I will contact my agent for further information.
- If I terminate my relationship with my Agent or for any other reason wish to terminate my Agent's access to my account, or if I wish to direct the trading in my account myself, or if I wish to use Advisory Services of another agent, I will contact IB immediately by email to [help@interactivebrokers.com](mailto:help@interactivebrokers.com) or by calling the toll free Customer Service number listed on IB's website.
- Because I have instructed IB to send all confirmations and account statements directly to my Agent, I will rely on my agent for information regarding my account. If I have any questions or concerns regarding my account, I will contact IB Customer Service at [help@interactivebrokers.com](mailto:help@interactivebrokers.com) or by calling the toll free Customer Service number listed on IB's website.
- Because Agent is not trading or advising for a professional or business purpose, my Agent is not authorized to charge or deduct from my account any fees, including Advisory Fees. In this regard, IB account Advisory Fees cannot be deducted and disbursed to my Agent. I understand that my Agent, is neither authorized by law to bill me directly for Advisory Fees and I will specify that to IB should the case arise and IB will not deduct such fees from my account.
- My agent was selected solely by me and has not been recommended or endorsed by IB. My agent is not employed by or registered or associated with IB. The arrangements described in this document do not constitute a joint venture or partnership between my Agent and IB, and my Agent is not affiliated or connected with IB. IB is not responsible for the actions or recommendations of the agent that I have chosen. IB will not investigate or judge the competence or integrity of the agent or monitor the actions of the agent. IB will not review the agent's recommendations or judge the suitability of any trading or

investment recommendation or decision of my agent or me. IB does not provide advisory services to IB customers and will not provide investment or trading or tax advice regarding my account.

- All claims, questions or disputes regarding IB's provision of brokerage services or regarding IB's execution of particular trades must be referred to IB. Agent has no authority to decide or resolve such claims, questions or disputes on IB's behalf.
- ALL CLAIMS OR DISPUTES REGARDING MY AGENT'S SERVICES OR ACTIONS (INCLUDING SPECIFIC CONDUCT, ADVICE, RECOMMENDATIONS, OR TRADES PLACED BY MY AGENT OR FEES OWED) ARE SOLELY BETWEEN ME AND MY AGENT. IB IS NOT LIABLE FOR ANY SUCH CLAIMS AND DISPUTES AND I UNDERSTAND AND FULLY ACKNOWLEDGE THAT I CANNOT BRING A CLAIM AGAINST IB OR RECEIVE DAMAGES OR COMPENSATION OF ANY KIND FROM IB, INCLUDING CLAIMS FOR DIRECT, INDIRECT, COMPENSATORY, INCIDENTAL, SPECIAL, EXEMPLARY, PUNITIVE OR CONSEQUENTIAL DAMAGES.
- I WILL INDEMNIFY IB AND HOLD IB HARMLESS FOR ALL ACTIONS, OMISSIONS, COSTS, EXPENSES (INCLUDING ATTORNEYS' FEES), LOSSES, PENALTIES, CLAIMS OR LIABILITIES, WHICH IB INCURS IN CONNECTION WITH ANY CLAIMS OF ANY KIND BY ME AGAINST MY AGENT OR ANY CLAIMS BY MY AGENT AGAINST ME, INCLUDING CLAIMS REGARDING FEES OWED TO MY AGENT OR FEES COLLECTED OR DEDUCTED BY IB FROM MY ACCOUNT.