

INTERACTIVE BROKERS (U.K.) LIMITED PROFESSIONAL CLIENT NOTIFICATION

In accordance with the Financial Conduct Authority rules, we categorise each client as either a retail or professional client.

This is to notify you that IBUK has categorised you as a professional client. Clients of the professional client category do not benefit from the higher degree of protection afforded to the retail client. IBUK generally categorise the client as a professional client where the client has provided information to show that it is authorised or regulated to operate in the financial markets.

You may request to be re-categorised as a retail client by entering into a written agreement with IBUK to the effect that you will not be treated as a professional client for the purposes of the applicable conduct of business regime. To initiate the request, please send an Inquiry/Trouble Ticket from Account Management.

I acknowledge receipt of this Notification.