



## PROFESSIONAL CLIENT NOTIFICATION

In accordance with the Financial Conduct Authority rules, Interactive Brokers (U.K.) Limited ("IBUK") categorises each client as either a retail client or professional client.

This is to notify you that IBUK has categorised you as a **professional client in general**.

You have been categorised as a professional client either because you are deemed to be a professional client under the Financial Conduct Authority rules (for instance, where you are authorised or regulated to operate in the financial markets) or you have requested to be treated as a professional client, expressly waiving your treatment as a retail client, and we have agreed to treat you as such.

A professional client has fewer regulatory protections than a retail client. For differences in the regulatory protections of retail clients versus professional clients, refer to <https://ibkr.info/node/3298>.

A professional client has the right to request re-categorisation to be treated as a retail client, but we may not accept such a request. You can initiate the request from the Client Portal.

### Informing us about change

You are responsible for keeping IBUK informed about any change which could affect your categorisation. It is your responsibility to ask for a higher level of protection if you deem that you are unable to properly assess or manage the risks involved.

By electronically accepting this document, I acknowledge receipt of this notification.