

INTERACTIVE BROKERS IRELAND LIMITED

RETAIL CLIENT CATEGORISATION NOTIFICATION

In accordance with the European Union (Markets in Financial Instruments) Regulations 2017 (“**MiFID Regulations**”), Interactive Brokers Ireland Limited (“**IBIE**”) categorises each client as either a Retail Client or as a Professional Client (each as defined under the MiFID Regulations).

The purpose of this Retail Client Categorisation Notification is to notify you that IBIE has categorised you as a Retail Client.

Retail Clients benefit from a higher degree of statutory protection than those afforded to Professional Clients. Generally, IBIE initially categorises all clients as Retail Clients, unless a client provides information and documentation, in accordance with the MiFID Regulations, to show that it is authorised or regulated to operate in the financial markets.

If you wish to be re-categorised as a Professional Client, and you are in a position to provide the information and supporting documentation to enable us to comprehensively consider your request for re-categorisation, please initiate a request from the Client Portal.

Please read and sign the Retail Client Express Consent and Agreement to IBIE’s Order Execution Policy and execution arrangements, which is set out below.

RETAIL CLIENT EXPRESS CONSENT AND AGREEMENT TO IBIE’S ORDER EXECUTION POLICY AND EXECUTION ARRANGEMENTS

Clients of IBIE are requested to carefully read and expressly consent and agree to our Order Execution Policy, a copy of which may be found [here](#).

By electronically accepting this document, **you are expressly consenting and agreeing that:**

1. You have read and fully understand the Order Execution Policy and you expressly consent and agree to the terms of the Order Execution Policy.
2. You fully understand that under the Order Execution Policy, the best possible result for your order will be determined primarily in terms of “Total Consideration”. “Total Consideration” is the price of the relevant financial instrument, plus the costs related to execution. You confirm that you understand that total consideration is the prioritised factor where the “Cost-Considered when Routing” functionality is active in the IBIE trading platforms. Otherwise, SmartRouting will direct the order to the venue providing the best price.

Interactive Brokers Ireland Limited is regulated by the Central Bank of Ireland

3. IBIE may execute orders outside of a trading venue (i.e. outside of a regulated market, multilateral trading facility or organised trading facility) in certain circumstances, as is permitted under the terms of the Order Execution Policy.

4. Where you place a client limit order with us in shares which are admitted to trading on a regulated market or traded on a trading venue and that order is not immediately executed under prevailing market conditions, you expressly instruct us not to immediately make the order public.

In addition to the above, you are required to provide your express consent and agreement to a number of additional matters, including express consents in respect of how IBIE will hold and safeguard your client assets. These client asset-related consents are set out in full in Section 3 of the IBIE Customer Agreement, which has been separately provided to you. Please ensure that you have read and fully understand all of the IBIE Customer Agreement, including Section 3.
