



Interactive Brokers Central Europe Zrt.

ANNOUCEMENT

Annex 7 to the GBR:

**The list of outsourced activities and
the person performing them**

Valid from: 07 January 2022

Referring to Act CXXXVIII of 2007 on Investment Firms and Commodity Dealers, and on the Regulations Governing their Activities (Bsz.) we notify our Customers on the following.

I.

Interactive Brokers Central Europe Zrt. has entered into an outsourcing agreement with external service providers within the IB group for the following services:

1. Online customer support and via telephone, complaint handling; implement, manage and monitor trade and cashiering surveillance regulatory compliance reports; software development, IT system operation related tasks, ensuring the availability of a real-time trading system; oversight of risk monitoring systems (oversight of position liquidation, setting of hedging rules, closing of short positions, monitoring of negative equity, preparation of market risk exposure statistics and risk reports); monitoring the communication process of the execution venue; assist in front office sales force workflow, customer acquisition and support of client onboarding process; maintenance of the stock contract for difference (“CFD”) universe, adding of CFD markets, monitoring of CFD trading system and negative equity events, CFD-related client service, sales, legal and compliance as well as corporate actions’ support are performed by **IB LLC** (headquarters: One Pickwick Plaza, Greenwich, CT 06830 USA).
2. Accounting services and financial reporting; processing and payment of incoming invoices; client onboarding support services; support for internally developed and IB licensed software, enterprise software license management and technical support; providing IT infrastructure, device maintenance, data backup and IT security tasks, including electronic mail security service; IT system monitoring and system development activities; as well as Internal Audit function’s support as to infrastructure, methodology, expertise, and resource are performed by **IBG LLC** (headquarters: One Pickwick Plaza, Greenwich, CT 06830 USA).
3. Online customer support and via telephone; monitoring the communication process of the execution venues; activities related to the oversight of risk monitoring systems (position liquidation oversight, hedging rule setting, risk reporting) are performed by **Interactive Brokers Hong Kong Limited** (headquarters: Suite 1512, Two Pacific Place, 88 Queensway, Admiralty, Hong Kong SAR).
4. Performing human resources and organizational strategy, management, administrative and support tasks; treasury services, supervision of cash management activities related to banks and clearing entities accounts, reconciliation of accounts on a daily basis; overseeing liquidity and funding management; online customer support and via telephone, providing 24-hour customer service; IT systems operation and software development tasks; oversight of risk monitoring systems; monitoring the communication process of the execution venues; assist in front office sales force workflow, customer acquisition and support of client onboarding process are performed by **IBKR Financial Services AG** (headquarters: Gotthardstrasse 3, 6300, Zug, Switzerland).
5. Provide legal support required for the provisions of services to clients, advice regarding data protection obligations and carrying out the Data Protection Officer function; preparation of MIFIR and EMIR reports; assist in front office sales force workflow, customer acquisition and support of client onboarding process; and client services (handling and resolving queries) are performed by **Interactive Brokers (U.K.) Limited** (headquarters: Level 20 Heron Tower, 110 Bishopsgate, London EC2N 4AY).

6. Recruitment tasks; office rental, facilities management and related administrative activities are performed by **Interactive Brokers Hungary Kft.** (headquarters: H-1075 Budapest. Madách Imre str. 13-14.).

II.

Interactive Brokers Central Europe Zrt. has entered into an outsourcing agreement with external service providers outside the IB group for the following services:

1. Providing complex information technology solution for the direct electronic customer due diligence and processing personal data of clients subject to direct electronic customer due diligence are performed by **TechTeamer Kft.** (headquarters: 1015 Budapest, Szabó Ilonka utca 9.).