

INTERACTIVE BROKERS LUXEMBOURG SARL RETAIL CLIENT NOTIFICATION

In accordance with Markets in Financial Instruments Directive II (Directive 2014/65/EU) (MiFID II), we categorise each client as either a retail or professional client.

This is to notify you that Interactive Brokers Luxembourg SARL ("IB LUX") has categorised you as a retail client. Clients of the retail client category benefit from a higher degree of protection than that afforded to the professional client category. IB LUX generally categorises all clients in the retail client category unless the client provides information to show that it is authorised or regulated to operate in the financial markets.

If you wish to be re-categorised as a professional client and are in a position to provide the supporting information for our consideration, please send an Inquiry/Trouble Ticket from Account Management.

I acknowledge receipt of this Notification.

INTERACTIVE BROKERS LUXEMBOURG SARL ORDER EXECUTION POLICYâ CLIENT CONSENT

Interactive Brokers Luxembourg SARL ("IB LUX") clients are requested to read and consent to our Order Execution Policy, a copy of which may be found https://gcdyn.interactivebrokers.com/Universal/servlet/Registration_v2.formSampleView?formdb=4450.

By electronically accepting this document, you are acknowledging the following:

1. I have read the Order Execution Policy and provided my consent to it.
2. I understand that under the Order Execution Policy the best possible order execution result will be determined primarily in terms of "Total Consideration". Total Consideration is the price of the relevant financial instrument, plus the costs related to execution. I understand that total consideration is the prioritised factor where the "Cost-Considered when Routing" functionality is active in the IB trading platforms. Otherwise SmartRouting will direct the order to the venue providing the best price.
3. I understand that there is a possibility that my orders may be executed outside of a trading venue and I consent to IB LUX executing my orders outside of a trading venue.
4. In the event I place with IB LUX a limit order in respect of shares admitted to trading on a regulated market which is not immediately executed under prevailing market conditions, I hereby instruct IB LUX not to make the order public immediately where IB LUX considers it appropriate not to do so.

I agree to the Order Execution Policy.