



Addendum to Interactive Brokers (U.K.) Limited and Interactive Brokers LLC Client Agreement for SIPP Account Holders

THIS ADDENDUM hereby modifies and supplements the Interactive Brokers (U.K.) Limited ("**IBUK**") and Interactive Brokers LLC ("**IBLLC**") Client Agreement.

1. **Definitions:** The following capitalised terms shall have the meanings set forth below:
 - A. "**Scheme Rules**" shall mean the trust deed and rules for the SIPP.
 - B. "**SIPP**" shall mean your self invested personal pension.
 - C. "**SIPP Laws**" shall mean all applicable laws and regulations governing the SIPP.
 - D. "**SIPP Providers**" shall mean the entities and/or persons that act as the administrator, operator and trustee for your SIPP.
2. You acknowledge that you are solely responsible for ensuring that you only engage in transactions and adhere to borrowing limits which are permitted under SIPP Laws and the Scheme Rules.
3. You agree that IBUK and its affiliates shall not be responsible for monitoring, supervising or ensuring that your account or any transactions in connection with your account conform to the SIPP Laws or the Scheme Rules.
4. To the maximum extent permitted by applicable laws, IBUK shall not be liable in any event for any direct, indirect, special or consequential loss or damages arising from your use of IBUK's services or otherwise arising or in connection with this Addendum. You agree to defend, indemnify and hold IBUK, its affiliates, directors, officers, shareholders, employees and agents, harmless from and against any and all claims, actions, losses, damages, liabilities, obligations, judgments, costs, and expenses any of them may incur or be subjected to arising out of or in any way related to: (a) acts or omissions of the SIPP Providers, (b) your failure to conform to the SIPP Laws or the Scheme Rules, or (c) your breach of this Addendum. This paragraph shall survive any termination of the IBUK and IBLLC Client Agreement or this Addendum.
5. You acknowledge that the SIPP Providers are not affiliates, employees, or agents of IBUK.
6. You acknowledge that no employee of IBUK or its affiliates is authorised to provide advice regarding compliance with SIPP Laws or the Scheme Rules.
7. You acknowledge that your account is subject to: (a) U.S. withholding tax on U.S. source dividends, and (b) U.S. tax reporting on Form 1042-S.

IN WITNESS WHEREOF, the Parties have caused this Addendum to be executed by their duly authorised representatives.

INTERACTIVE BROKERS (U.K.) LIMITED