



PRC RESIDENT PIPL ACKNOWLEDGEMENT & SEPARATE CONSENTS

I/We acknowledge that:

- Interactive Brokers and its affiliates ("IBKR") may obtain information (including personal information and sensitive personal information, each as defined in the Personal Information Protection Law of the People's Republic of China ("PIPL") about me/us or my/our directors, shareholders, employees, officers, agents or clients as necessary. IBKR shall comply with applicable data protection laws with regards to processing personal data. I/We acknowledge that I/we have read and understood the Interactive Brokers Group Privacy Policy published on www.interactivebrokers.com (as may be updated from time to time) which sets out how personal data shall be processed by IBKR.
- IBKR may use, store or otherwise process any such information (whether provided electronically or otherwise) and may disclose any such information (including, without limitation, information relating to my/our transactions and account) either as IBKR shall be obliged to under or pursuant to any applicable law or rules or by any regulatory authority or as may be required to provide services to me/us under the client agreement with IBKR ("Agreement").
- IBKR may:
 - disclose information about me/us to my/our agents or attorneys for any purpose relating to the Agreement;
 - disclose information about me/us and my/our clients, of a confidential nature, in the circumstances set out in this clause:
 - to other members of the Interactive Brokers Group, who may be located outside of the PRC and may use such information in the manner set out in this clause (and for the avoidance of doubt, references to "IBKR" in this clause shall be deemed to include all members of the Interactive Brokers Group);
 - to other organizations and individuals IBKR may engage (who may be located outside of the PRC) to perform, or assist in the performance of, IBKR's services or to advise me/us, provided that they will only be given access to the relevant information for that purpose;
 - to any depository, stock exchange, clearing or settlement system, account controller or other participant in the relevant system, to counterparties, dealers, custodians, intermediaries and others (in each case, as may be located outside of the PRC) where disclosure is reasonably intended for the purpose of effecting, managing or reporting transactions in connection with the Agreement or establishing a relationship with a view to such transactions;
 - to any regulatory authority or public registry, as required by law; or

- as may be required for the purposes set out in this clause.
- To the extent any consent or authorization is required by law, I/we hereby consent and authorize IBKR, directly or through third parties, to make any enquiries in relation to my personal data that IBKR considers necessary to conduct business with me/us. This may include ordering a credit report and performing other credit checks, or verifying the information I/we provide against third party databases. I/We acknowledge that any personal data obtained is maintained in accordance with the Interactive Brokers Group Privacy Policy.

PRC RESIDENT PIPL ACKNOWLEDGEMENT - COLLECTION OF SENSITIVE PERSONAL INFORMATION

I/We acknowledge and agree to give IBKR separate consent to obtain sensitive personal information (as defined in the Personal Information Protection Law of the People's Republic of China ("PIPL")), including financial information, about me/us or my/our directors, shareholders, employees, officers, agents or clients as necessary to provide services to me/us under the client agreement with IBKR.

For more information on how IBKR may use, store, or otherwise process client information, please refer to the [Interactive Brokers Group Privacy Policy](#) for details and contact information.