INTERACTIVE BROKERS CANADA INC. PRIVACY POLICY

Interactive Brokers Canada Inc. ("IBKR", "we" or "us" or "our") is part of the Interactive Brokers Group of companies ("IBKR Group"). Our collection, use and disclosure of personal information is more fully described in the Interactive Brokers Group Privacy Policy ("IBKR Group Policy").

The IBKR Group, including IBKR, understands that the confidentiality and security of the personal information you share with us is important and we handle all information we collect about you in accordance with the IBKR Group Policy and applicable client agreements. This Privacy Notice supplements the IBKR Group Policy to the extent that Canadian Privacy Laws (defined below) apply to the collection, use or disclosure of your personal information.

When we refer to "you", we are referring to natural persons who are residents of Canada, and are either IBKR clients, people who are applying to open an account with us, or other persons who use our services or visit our website.

Our data privacy policies and practices, as applied to Canadian residents, are subject to, and designed to comply with the Personal Information Protection and Electronic Documents Act (PIPEDA) and, in some cases, substantially similar provincial laws (collectively, "Canadian Privacy Laws").They also comply with Canada's Anti-Spam Legislation (CASL). Any solicitations we send via email are sent in compliance with CASL limitations.

Personal information refers to any information that identifies, or could be reasonably associated with, an individual, including an IBKR customer. It can include, without limitation, information contained in new client account forms and related account opening documentation, account statements and records of trading and account activity and checks and financial records in relation to trading in securities.

What types of personal information do we collect and use?

In addition to the types of information listed in the IBKR Group Policy, we may collect and use other types of personal information about you depending on how you interact with us, including:

- When you contact IBKR representatives via telephone, an audio recording of your conversation may be automatically captured for training and quality

assurance purposes. We will generally inform you before recording the conversation.
- insert brief description of what other types of personal information is collected, when this information is collected, and the purposes for which it is used or disclosed by IBKR

You may request to learn more about the information we collect about you and how it is handled, including the categories of persons who have access to that information within our company, how long we keep it and the source of information we may collect about you from other businesses, by contacting us at the address set out below.

How do we manage consent?

By submitting information to us in accordance with the IBKR Group Policy and/or this Privacy Notice, we rely on your consent to collect, use and share your personal information, unless otherwise permitted under applicable laws. In some cases, your consent may be "implied", meaning that your agreement is assumed based on your action or inaction at the point of collection, use or sharing of your personal information. When we ask for your consent, you are entitled to request assistance in understanding the scope of the consent requested by using the means provided to you at that time or by contacting us at the address set out below.

Your consent can be withdrawn at any time, except in limited circumstances, including legal or regulatory requirements or as a result of your contractual obligations with us. If you choose not to provide us with certain personal information or if you withdraw your consent, where such withdrawal is available, we may not be able to offer you the programs, products, services, surveys or information that you requested or that could be offered to you.

With whom will your personal information be shared?

We may share your personal information in accordance with the IBKR Group Policy as well as with self-regulatory organizations in order to comply with our legal and regulatory obligations. As IBKR (or its affiliate) is a member of the Canadian Investment Regulatory Organization (CIRO), the Bourse de Montreal Inc., and the Canadian Investor Protection Fund (collectively, the self-regulatory organizations or "**SROs**"), IBKR has obligations to produce or make available for inspection documents and information to SROs, from time to time, for regulatory purposes.

For regulatory purposes, SROs require access to personal information of current and former clients, employees, agents, directors, officers, partners and others that has been collected or used by IBKR.

SROs collect, use or disclose such personal information obtained from IBKR for regulatory purposes, including:

- Surveillance of trading-related activity;
- Sales, financial compliance, trade desk review and other regulatory audits;
- Investigation of potential regulatory and statutory violations;
- Regulatory databases;
- Enforcement of disciplinary proceedings;
- Reporting to securities regulators; and
- Information-sharing with securities regulatory authorities, regulated marketplaces, other self-regulatory organizations and law enforcement agencies in any jurisdiction in connection with any of the foregoing.

Cross-border Transfers of Personal Information

You are advised that any personal information collected by IBKR in accord with the IBKR Group Policy (including this Privacy Notice) or the IBKR Client Agreement may be shared within the IBKR Group and with IBKR Group employees, agents, service providers and affiliates for the purposes described in the IBKR Group Policy. Some of these third parties are based outside the jurisdiction where you reside, including in other provinces and in countries outside Canada, such as in the United States. This means that your personal information may be stored in or transferred to another jurisdiction and, therefore, may also be subject to the law of that foreign jurisdiction, including any law permitting or requiring disclosure of your personal information to the government, government agencies, courts and law enforcement in that jurisdiction.

How do we protect and retain your personal information?

IBKR maintains policies and practices which ensure the protection of your personal information throughout the information lifecycle. Depending on the volume and sensitivity of the information, the purposes for which it is used and the format in which it is stored, we implement a combination of measures to protect your Personal Information, including:

- Establishing policies and procedures that define the roles and responsibilities of our employees and limit their access to personal information on a "need-to-know" basis;
- Implementing technical safeguards such as encryption, firewalls and antivirus software;
- Designating a Privacy Officer to monitor our compliance with Canadian Privacy Laws;
- Providing privacy and data security training to our employees;
- Developing procedures to handle complaints or inquiries regarding our information handling practices;
- Entering into agreements with our service providers with whom we may share personal information (as described above), requiring them to implement and maintain adequate privacy protections.

IBKR also maintains policies and practices governing the retention and destruction of personal information in its possession or custody. In particular, we retain your personal information for only as long as necessary to fulfil the purposes described in the IBKR Group Policy (including this Privacy Notice), in accordance with our internal policies. In some cases, we might retain your personal information for a longer period if necessary to comply with applicable laws, to establish, exercise or defend a legal claim, or as otherwise permitted or required by law.

Exercising Your Privacy Rights

As a Canadian resident, you may have the right to access and rectify the personal information we hold about you in accordance with the laws applicable in the province where you reside. If you believe that your personal information is inaccurate, incomplete or no longer up to date, or wish to access such personal information, you may send us a written request using the details set out in the "Contacting us" section of the Privacy Notice. We will respond to your request within a reasonable delay, in compliance with applicable laws.

Depending on where you reside, you may also be entitled to request the rectification of your personal information if our collection, disclosure or retention of such information is not authorized by law.

Updates to this Privacy Notice

We reserve the right to update and change this Privacy Notice from time to time, for example, in order to reflect any changes to the way in which we process your personal information or changing legal requirements. In case of any such changes, we will post the changed Privacy Notice on our website or publish it otherwise. The changes will take effect as soon as they are posted on our website. If the changes we make are significant, we will provide a more prominent notice when required by applicable laws. By continuing to access our website or otherwise use our services after the modified version of the Privacy Notice has been posted or you have been informed of such update, you are accepting the changes to the Privacy Notice. If you do not agree to the changes, it is your responsibility to stop using our services. It is your obligation to ensure that you read, understand and agree to the latest version of the Privacy Notice. The "Effective Date" at the top of the Privacy Notice indicates when it was last updated.

Contacting Us

If you have questions or concerns regarding IBKR's handling of your personal information, including IBKR's compliance with the IBKR Group Policy (including this Privacy Notice) or Canadian Privacy Laws, please contact our Privacy Officer at IBcanadaprivacy@IBKR.com.