

TERMS AND CONDITIONS FOR PROVIDING THE TRANSACTION STATEMENT THROUGH INTERNET

In consideration of Interactive Brokers (India) Pvt. Ltd., a depository participant registered with national securities depository limited (NSDL), hereinafter (the "DP"), having agreed to provide _____ ("Customer") the transaction statement through internet (web-based and or email), both the parties hereby agree to the terms and conditions mentioned as follows:

General

- i. The DP shall install reasonably adequate systems to restrict the access of the transaction statements only to the customer.
- ii. The customer shall take all the necessary steps to ensure confidentiality and secrecy of the login name and password.
- iii. The customer is aware that the transaction statements may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.
- iv. In case the customer opts for transaction statements through email, it shall immediately inform the DP about change in email address, if any. The DP shall verify and confirm with the customer about the change. Further, the DP shall confirm with the customer about validity of the email address at least once in a year.
- v. The customer agrees not to receive transaction statements in paper form from the DP. Provided however that, in case when the participant is not able to provide transaction statements to the customer through internet (web-based / email) due to any unforeseen problems, the DP should ensure that the transaction statements reaches the customer in physical form as per the time schedule stipulated in the bye laws & business rules of NSDL.
- vi. The DP and customer(s) shall have the right to terminate such service provided a written notice is given at least 10 days in advance to the other party.

Email

- i. The transaction statements will be digitally signed in accordance with the information technology act, 2000.
- ii. The transaction statements will be password protected.

Website

- i. The participant will implement secure socket layer (SSL) security for their internet facility featuring site authentication, encrypted communication and appropriate client authentication so as to restrict the access of transaction statements only to the customer. The transaction statements made available on website should be digitally signed in accordance with the information technology act, 2000.
- ii. The participant agrees to send consolidated transaction statement in paper form or in electronic form (email) for the entire financial year and the same shall be dispatched before 15th may of every year.
- iii. The transaction statements will be made available on the website for a period of at least 12 months.

The above terms and conditions are in addition to and not in contravention of the terms and conditions forming a part of the "agreement between the participant and the person seeking to open an account with the participant" signed by the customer at the time of opening the account with the depository.

SIGNED:

X  _____ (Signature)

SOLE/FIRST HOLDER

SECOND HOLDER

THIRD HOLDER

SIGNED:

(FOR AND ON BEHALF OF THE PARTICIPANT)

CLIENT ID:

E-MAIL ID: _____