ACKNOWLEDGEMENTS BY CLIENT

I/We acknowledge that:

- I/We have carefully read the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.

- The contents of all relevant agreements and disclosures (including but not limited to the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges) have been fully explained to me/us by the materials provided by IBHK in a language which I/We understand. I/We have agreed to the IBHK Client Agreement being in English.

- Should I/We request IBHK to provide me/us trading permission in relation to securities listed or traded on the Stock Exchange of Hong Kong or for the Stock Connect Northbound Trading Service, my/our consent in relation to paragraph 45 of the IBHK Client Agreement will apply. I/We further acknowledge that if I/We do not agree to give my/our consent under paragraph 45 of the IBHK Client Agreement, I/We will not request for or request to remove from such relevant trading permission.

- Should I/We choose to use the algorithms available on the trading platform, I/We certify that I/We am/are aware of the training and documentation available on the IBHK website and have a good understanding of the algorithms as well as the compliance and regulatory issues that may arise from algorithmic trading.

- I/We have been given an adequate opportunity to ask questions of IBHK’s representatives and to consult my/our own legal advisers about the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.

- I/We have received the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information and Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges. I/We am/are trading on my/our own account.

- I/We have read and completed the Account Application and Supplemental Questions for Hong Kong Users previously provided to me/us by IBHK and confirm that the information I/We have provided is true and complete.

- I/We agree to electronically sign additional documents included during the account opening process and from time to time, including, but not limited to risk disclosures and other agreements.

I/We confirm my/our proficiency in English. I/We am/are able to read, understand and agree to the contents of the account opening documents in English, including the IBHK Client Agreement, the Hong Kong Risk Disclosure Statements and the Regulatory Information Additional Provisions for Users from Hong Kong and Users Trading on Hong Kong Exchanges.

Account Number: _________________________________

Name of Account Holder: _______________________________
Signature of Account Holder: _________________________________

Date: _________________________________

Name of Second Account Holder: _________________________________

Signature of Second Account Holder: _________________________________

Date: _________________________________

STAFF DECLARATION (For Internal Use only)

I declare:

- The client was provided with the IBHK Client Agreement and the Hong Kong Risk Disclosure Statements in the English Language; and

- The client was invited to read the IBHK Client Agreement and the Hong Kong Risk Disclosure Statements, ask questions and take independent advice if the client wishes.

Signature of Registered Representative: __________________________

Initials of Registered Representative: __________________________

(Account Number: ______________________)